APPLICATION FOR LEGAL ENTITIES

Before you invest:

- Peregrine Capital does not provide financial advice; however, we believe in the merits of good independent advice. If you are not comfortable making your own investment decision, please consider using the services of an Independent Financial Adviser (“IFA”).

By signing this form, you confirm:

- You have read the latest product information (Fund Fact Sheet and the Key Investor Information Document) to ensure you have chosen the correct hedge fund to suit your needs.
- You have read and agree to the **Terms and Conditions**.
- All documents mentioned above are available on our website www.peregrine.co.za

Next steps:

- Send the completed and signed form to instructions@peregrine.co.za.
- If you are a South African citizen, resident or company, Peregrine Capital will verify the information you provide by using trusted third-party service providers in order to comply with FICA. Additional documentation will be required to determine authorised signatories and ultimate beneficial ownerships.
- Please refer to **FICA and Tax** guide for the full list of required documents to be submitted.
- If any of the beneficial owners or authorised signatories are foreign nationals, please provide a valid copy of their passport.
- Electronic collections, including monthly debit orders, can only be processed from a South African bank account. If your bank account is not held in South Africa, please provide proof of the account.
- We reserve the right to request additional information or documentation as required.

Application approval and funding your investment:

- Peregrine Capital will inform you when your application is approved and when your investment can be funded.
- Please note that investments cannot be funded by a third party and all funds must be transferred from a bank account in your name.
- Electronic collection is the easiest and most secure way to fund your investment. For Qualified Investor Funds, Peregrine Capital will collect the money directly from your bank account on the 28th and invest it on the first calendar day of the following month. For Retail Funds, collection will be made as soon as your account is approved. Should the collection date fall on a public holiday or a weekend, the collection will be made the next business day.
- Peregrine Capital can collect a maximum of R2,000,000 per client per day, subject to a total monthly limit of R2,000,000 per client on a calendar month basis.
- If your investment amount is greater than R2,000,000, or you prefer to transfer the money using electronic funds transfer (EFT), we will provide you with our bank account details once your application is approved.
- Your payment reference must be your **entity registration number**. Proof of payment is required in order to successfully complete your investment.
- Please refer to our **Terms and Conditions** for transaction cut-off times.

How do I know my investment has been successful:

- For Qualified Investor Funds, Peregrine Capital will send you a welcome letter by the 10th business day of the month. For Retail Funds, a welcome letter will be sent on the business day following the finalisation of your investment. The letter will contain important information like your account number, the number of units you hold in the fund, and all other important information pertaining to your investment.
- We will create a profile in the Client Portal enabling you to see all your investments and information.

APPLICATION FOR LEGAL ENTITIES

1. Legal Entity Details

Entity type

Partnership ☐ Trust ☐ Retirement fund ☐

Close corporation ☐ Listed company ☐ Unlisted company ☐

Regulated financial institution *(CIS, Investment Fund, Nominee company)* ☐ Other legal entity (please specify)

Entity details

Registered name

Trading name

Registration number FSP licence number

Country of incorporation Date of incorporation

Industry of business

Other, please specify:

Does the legal entity have the ability to issue bearer shares ? Yes ☐ No ☐

Entity contact details

Registered address

Postal code

Email Telephone

Primary contact person

Title Surname

First name(s)

Email Telephone

2. Legal Entity Tax Information

We are required to collect information about the entity's tax residence. This means we need to know which countries the entity is liable to pay tax, regardless of whether the entity physically resides there. Please list all relevant countries along with the corresponding Tax Identification Number (TIN) or its functional equivalent. If you are unable to provide a TIN, please specify a reason. We may be required to share this information with the relevant tax authorities as necessary. For additional information, please access the **FICA and Tax** guide.

Country of tax residency	Tax identification number (TIN)	Reason for no TIN

If unable to obtain a TIN, please specify reason:

Foreign tax classification

Is the entity registered in the US for tax purposes? Yes No

Are you a Financial Institution?

Is the legal entity a Financial Institution? Yes No

If yes, please provide your Global Intermediary Identification Number (GIIN) or the GIIN of your sponsoring entity:

If the legal entity cannot provide a GIIN, please provide a reason:

Should the investor fail to select either of the above classifications, Peregrine Capital will assume the investor is a non-participating Financial Institution.

Are you a non-Financial Institution?

If the legal entity is not a Financial Institution, please tick the appropriate classification:

Active Passive

The entity qualifies for an exemption or reduced rate

Please complete the **Dividend Withholding Tax Form** included as **Annexure C** for an exemption or reduced rate.

3. Confirmation of Banking Details

The bank account you provide must be in your name. Electronic collections and payments will be processed using the bank account provided. Credit cards, market-linked accounts, or third-party bank accounts are not permitted. If your bank account is not held in South Africa, please provide proof of the account.

Name of account holder	
Name of bank	
Account number	
Account type	Cheque ☐ Savings ☐

4. Investment Details

Qualified Investor self-certification

The investor is a self-certified qualified investor as defined in the Collective Investments Scheme Control Act, No 45 of 2002

☐ The entity has R1,000,000 it would like to invest as a lump sum

and

the investor has demonstrable knowledge and experience in financial and business matters which would enable the investor to assess the merits and risks of a hedge fund investment.

or

☐ The entity has appointed a financial adviser who has demonstrable knowledge and experience to advise the investor regarding the merits and risks of a hedge fund investment.

Minimum investment limits

- Retail Hedge Funds: The minimum investment amount is R25,000 per fund.
- Qualified Investor Hedge Funds: The minimum investment amount is R1,000,000 per fund.

Fund	Lump sum investment amount
Peregrine Capital High Growth Retail Hedge Fund	
Peregrine Capital Pure Hedge Retail Hedge Fund	
Peregrine Capital Vision Retail Feeder Hedge Fund	
Peregrine Capital High Growth QI Hedge Fund	
Peregrine Capital Pure Hedge QI Hedge Fund	
Peregrine Capital Dynamic Alpha QI Hedge Fund	

How are you funding your investment?

Electronic collection is generally the simplest and most secure way to fund your investment. Peregrine Capital can collect a maximum of R2,000,000 per client per day, subject to a total monthly limit of R2,000,000 per client on a calendar month basis. Electronic collections, including monthly debit orders, can only be processed from a South African bank account. If your investment exceeds this limit, we recommend using 'Electronic Funds Transfer' as your method of funding to ease your investment experience.

Your account must be FICA compliant prior to allowing any transaction.

☐ Electronic Collection	For Qualified Investor Funds, Peregrine Capital will collect the money directly from your bank account on the 28th and invest it on the first calendar day of the following month. For Retail Funds, collection will be made as soon as your account is approved. Should the collection date fall on a public holiday or a weekend, the collection will be made the next business day. Collections related to Qualified Investor Funds will reflect the reference 'PCQIHF', and those related to Retail Funds will reflect 'PCRHF' on your bank statement. Investments funded via electronic collections can only be sold after 60 calendar days. I hereby authorise Peregrine Capital to withdraw from my South African bank account confirmed in Section 3.
☐ Electronic Funds Transfer (EFT)	We can only share our banking details once the entity's application is approved. As soon as that happens, we will share the banking details with you in a secure manner Please use the **entity's registration number** as reference for the payment. Proof of payment is required in order to successfully complete your investment.

Set up debit order instruction

You can set up a debit order on this investment. Debit orders are collected on the 28th day of each month. Should the 28th fall on a public holiday or a weekend, the collection will be made the next business day.

Minimum investment amount for debit order instructions

- Retail Hedge Funds**:** The minimum debit order amount is R1,000 per fund, whether standalone or in addition to an initial investment of R25,000.
- Qualified Investor Hedge Funds: The minimum debit order amount is R5,000 per fund in addition to an initial investment of R1,000,000.

☐ Please set up a debit order for my investment. I hereby authorise Peregrine Capital to debit my South African bank account on a monthly basis for the agreed investment amount:

Fund	Amount	Effective date
Peregrine Capital High Growth Retail Hedge Fund		28th of
Peregrine Capital Pure Hedge Retail Hedge Fund		28th of
Peregrine Capital Vision Retail Feeder Hedge Fund		28th of
Peregrine Capital High Growth QI Hedge Fund		28th of
Peregrine Capital Pure Hedge QI Hedge Fund		28th of
Peregrine Capital Dynamic Alpha QI Hedge Fund		28th of

Please indicate the percentage by which you would like your monthly debit order to increase each year:

0% ☐ 2.5% ☐ 5% ☐ 10% ☐ 15% ☐ 20% ☐

For this specific investment, the money originates from the following source/s:

Please note that you may be required to provide supporting documentation. Please select all options that are applicable.

Passive income (rental, dividends)	☐	Loan	☐	Donation	☐
Sale of business	☐	Sale of property	☐	Sale of investment assets	☐
Business profits	☐	Other, please specify:			

Distributions details

Your investment may earn income distributions (interest and/or dividends). These will be automatically reinvested, resulting in the allocation of additional units to your investment.

To have your distributions paid directly into your bank account, please tick this box ☐

Investment objective

What is your primary objective for investing?

Capital Preservation	☐	Capital Growth	☐	Risk Diversification	☐
Hedging Against Inflation	☐	Retirement Planning	☐	Education Savings	☐
Estate Planning	☐	Other, please specify:			

What is your expected time horizon?

1-2 years ☐ 3-5 years ☐ More than 5 years ☐

Nature and accumulation of entity assets:

Please note that you may be required to provide supporting documentation.

Please describe the primary activities of the entity:

Please select all options that describe how the entity has accumulated assets over time:

Investment income	☐	Loan	☐	Donation	☐
Sale of business	☐	Sale of property	☐	Sale of investment assets	☐
Business profits	☐	Other, please specify:			

5. Financial Advice

☐ I have not received financial advice in relation to this investment

☐ I have received financial advice, but do not require Peregrine Capital to pay fees on my behalf

☐ I have received financial advice for this investment. I authorise Peregrine Capital to deduct the negotiable fees and pay them to the Financial Services Provider (FSP) whose details are given below. I agree to pay the negotiated fees on this and all future transactions until otherwise specified.

Financial adviser details

Full name of financial adviser representative	
Name of Financial Services Provider (FSP)	
Appointment date	
Annual fees (excluding VAT)	

Who should we communicate with?

We will send you/your financial adviser transaction confirmations, account statements and other communications.

Who should we send these communications to? Me ☐ Financial adviser ☐ Both ☐

If no option is selected, your communications will be sent to both you and your financial adviser.

Authorisation for your financial adviser to submit instructions on your behalf

If the FSP holds a Category II licence with the Financial Sector Conduct Authority, and the investor has entered into a discretionary mandate with the FSP, the investor may authorise the FSP to submit instructions to withdraw, purchase or otherwise deal with investment instructions (“instructions”) on behalf of the investor.

The investor has entered into a mandate with this FSP to exercise discretion over the investor's investment(s) and submit instructions on behalf of the investor Yes ☐ No ☐

If yes, please submit a copy of the **discretionary mandate along with this form.**

If the FSP does not have an existing contract with Peregrine Capital, they must complete and submit an **FSP Application Form** to facilitate fee payments. Please email ask@peregrine.co.za to request this form.

The fee is charged by means of withdrawing units from the relevant fund. The fee is calculated daily based on the latest available price and paid monthly in arrears to the financial adviser.

Distribution list for monthly statement and transaction confirmations

Please indicate who, in addition to the primary contact and financial adviser, should receive transaction confirmations and monthly statements:

Title	Full Name	Email address

6. Control and Ownership Information (Related party that is an entity)

This section must be completed by each entity that holds a direct or indirect interest in, or exercises control or significant influence over, the investor. **Additional copies of this section can be found as Annexure A at the end of the form.**

Entity Type	Type of ownership / interest
☐ Trust	☐ Corporate Trustee ☐ Founder (that is an entity) ☐ Beneficiary (that is an entity) ☐ Shareholder (that is an entity) with ≥5% Controlling interest
☐ Unlisted company ☐ Listed company	☐ Shareholder (that is an entity) with ≥5% Controlling interest ☐ Other (please specify (e.g. voting control))
☐ Partnership	☐ Partner (that is an entity) ☐ General/Disclosed Partner (that is an entity) ☐ Other (please specify (e.g. voting control))
☐ Regulated financial institution (e.g., Investment Fund)	☐ Management company
☐ Other legal entity (please specify)	☐ Describe nature of control/ownership

Entity details

Registered name			
Trading name			
Registration number		FSP licence number	
Country of incorporation		Date of incorporation	
Industry of business			
Other, please specify:			

Does the legal entity have the ability to issue bearer shares? Yes ☐ No ☐

Registered address

Postal Code

Legal Entity Tax Information

We are required to collect information about the entity's tax residence. This means we need to know which countries the entity is liable to pay tax, regardless of whether the entity physically resides there. Please list all relevant countries along with the corresponding Tax Identification Number (TIN) or its functional equivalent. If you are unable to provide a TIN, please specify a reason. We may be required to share this information with the relevant tax authorities as necessary. For additional information, please access the **FICA and Tax** guide.

Country of tax residency	Tax identification number (TIN)	Reason for no TIN

If unable to obtain a TIN, please specify reason:

Foreign tax classification

Is the entity registered in the US for tax purposes? Yes ☐ No ☐

Are you a Financial Institution?

Is the legal entity a Financial Institution? Yes ☐ No ☐

If yes, please provide your Global Intermediary Identification Number (GIIN) or the GIIN of your sponsoring entity:

If the legal entity cannot provide a GIIN, please provide a reason:

Should the beneficial owner fail to select either of the above classifications, Peregrine Capital will assume the Investor is a non-participating financial Institution.

Are you a non-financial Institution?

If the legal entity is not a financial institution, please tick the appropriate classification

Active ☐ Passive ☐

7. Control and Ownership Information (Related party that is a natural person)

This section must be completed by each individual with a direct or indirect interest in, or significant control over the entity. **Additional copies of this section can be found as Annexure B at the end of the form.**

Capacity (please tick all relevant options if more than one applies)

Partner	☐	Member	☐	Director	☐
Founder	☐	Trustee	☐	Beneficiary	☐
Shareholder with 5% controlling interest	☐	Person exercising executive control	☐	Ultimate beneficial owner	☐
Authorised signatory	☐	Other (please specify)			

Personal details

Title ______ Surname ______

First name(s) ______

Preferred name ______

ID / Passport number ______ Date of birth ______

Passport country of issue ______ Passport expiry date ______

Country(ies) of citizenship ______

Country(ies) of residency ______

Residential address

______

______

______ Postal code ______

Mobile number ______ Telephone number(w) ______

Email ______

Tax information

Are you a US citizen or resident in the US for tax purposes? Yes ☐ No ☐

Tax residency details

We are required to collect information about your tax residence. This means we need to know the countries where you are liable to pay tax, regardless of whether you physically reside there. Please list all relevant countries along with the corresponding Tax Identification Number (TIN) or its functional equivalent. If you are unable to provide a TIN, please specify a reason. We may be required to share this information with the relevant tax authorities as necessary. For additional information, please access the **FICA and Tax** guide.

Country of tax residency	Tax identification number (TIN)	Reason for no TIN

If unable to obtain a TIN, please specify reason:

Prominent influential person declaration

Are you, or have you been, a prominent influential person? Yes ☐ No ☐

Are any of your immediate family members, or close associates, prominent influential persons?
Yes ☐ No ☐

If yes, please specify:

- ☐ Ambassador, senior judicial officer, senior officer in armed forces
- ☐ Head of state, head of government, minister, deputy minister, senior government official, member of parliament or similar legislative body
- ☐ Board member, executive, senior manager, or member of supervisory body of state-owned enterprise, local or regional council
- ☐ Senior executive of an international organisation such as the United Nations, the African Union or the equivalent.
- ☐ Senior or other important political party official
- ☐ Traditional or religious leader

8. Investor Declaration and Consent

By signing below, I/we, for and on behalf of the Investor, confirm that:

- The information contained herein is true and correct. I/we have the necessary authority to do so, and I/we confirm that this transaction is within my/our authority.
- I/we have read, understood and agree to the Terms and Conditions.
- I/we have read and understood the risk profile of the Funds and acknowledge that there are no guarantees regarding the preservation of capital or the future performance of the Funds.
- I/we consent to Peregrine Capital processing my information according to the Terms and Conditions.
- I/we agree that my personal information may be collected and verified from another source, if not collected directly from me.
- I/we I acknowledge that any information supplied to Peregrine Capital in terms of this application is provided voluntarily to Peregrine Capital.
- I/ we hereby authorise Peregrine Capital to debit my South African bank account once off or on a monthly basis for the agreed investment amount. I/we confirm that I have read and understood the Tax Guide provided by Peregrine Capital and that, to the best of my knowledge, all tax-related information submitted in this application is true, complete, and correct.
- In terms of the Intergovernmental Agreement ("IGA") entered into between South Africa and the US to implement the United States Foreign Account Tax Compliance Act ("FATCA"), Peregrine Capital is required to collect information from each client to ensure the identification and classification is reported correctly to the South African Revenue Service ("SARS"), which may in turn report on such clients to the US tax and other regulatory authorities, where appropriate.
- I/we will notify Peregrine Capital immediately if any information disclosed herein changes and is no longer applicable or if there are any changes in circumstances that may impact on my tax residency status, FATCA/CRS classification or any other foreign tax classification.
- I/we have not received any advice from Peregrine Capital regarding this instruction.

Signature

Full name

Capacity of signatory Date

Signature

Full name

Capacity of signatory Date

Peregrine Capital™

DIVIDEND WITHHOLDING TAX FORM

Important information:

- The South African Revenue Service ("SARS") requires Peregrine Capital to pay Dividend Withholding Tax ("DWT") and/or Interest Withholding Tax ("IWT") on your behalf, where applicable.
- Peregrine Capital will deduct the applicable tax prior to paying any dividends and/or interest to you, or before reinvesting such amounts into your investment account.
- The rate of DWT applicable to South African residents is prescribed in section 64E of the Income Tax Act, No. 58 of 1962 ("the Act").
- In instances where the investor is not registered for tax purposes in South Africa, a default DWT rate of 20% shall apply, unless a reduced rate is available under applicable tax provisions.
- Similarly, a default IWT rate of 15% shall apply, subject to the availability of a reduced rate where applicable.
- The investor may be eligible for a reduced withholding tax rate where a Double Taxation Agreement ("DTA") exists between the Republic of South Africa and the investor's country of tax residence. Should the investor qualify, Peregrine Capital will apply the appropriate reduced rate of DWT and/or IWT based on the investor's country of tax residence.
- This form must be completed by the beneficial owner (of dividends, including dividends in specie) in order for the exemptions from dividends tax, as referred to in section 64F read with sections 64FA, 64G or 64H of the Act, as well as the provisions of the applicable DTA between the Republic of South Africa and the country of residence of the beneficial owner, to apply.

By signing this form, you confirm:

- You have read and agree to the **Terms and Conditions**.

Next steps:

- Send the completed and signed form to instructions@peregrine.co.za

How will I know my details have been updated:

- Peregrine Capital will send you confirmation once your details have been updated.

DIVIDEND WITHHOLDING TAX FORM

1. Investor Details

Client / Account number	
Full name / Entity name	
ID number / Registration number	

2. The Investor Qualifies for an Exemption

Please indicate the reason why the beneficial owner is exempt in terms of Section 64F of the Act:

☐	Par (1)(a)	A company or close corporation which is resident in South Africa
☐	Par (1)(b)	The government of the Republic in the national, provincial, or local sphere
☐	Par (1)(c)	A public benefit organisation (approved by SARS in terms of section 30(3) of the Act)
☐	Par (1)(d)	A trust contemplated in section 37A (mining rehabilitation trusts)
☐	Par (1)(e)	An institution, body, or board contemplated in section 10(1)(cA) of the Act
☐	Par (1)(f)	A fund contemplated in section 10(1)(d)(i) or (ii) of the Act (pension fund, pension preservation fund)
☐	Par (1)(g)	A person contemplated in section 10(1)(t) of the Act (CSIR, SANRAL, etc.)
☐	Par (1)(h)	A shareholder in a registered micro business as defined in the Sixth Schedule to the Act to the extent that the aggregate amount of the dividends paid by that registered micro business to its shareholders during the year of assessment in which that dividend is paid does not exceed R200,000.00
☐	Par (1)(i)	A small business funding entity as contemplated in section 10(i)(cQ) of the Act
☐	Par (1)(i)	A person that is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of “dividend” in section 64D (i.e. dividend on a foreign company’s shares listed in SA, such as dual-listed shares)
☐	Par (x)	Other
☐	Par (y)	Double Taxation Agreement
☐	Par (z)	Other international agreement
☐	Other	other relevant paragraph of section 64F

Declaration and undertaking for exemption

I/We the undersigned, hereby declare that dividends paid to the investor are exempt or would have been exempt had it not been a distribution of an asset in specie, from the dividends tax in terms of the paragraph of section 64FA(1)(a)(i), 64G(2)(a)(aa) or 64H(2)(a)(aa)of the Act.

3. The Investor Qualifies for a Reduced Rate

Declaration and undertaking for reduced rate of tax

I/We the undersigned, hereby declare that all the relevant requirements in terms of the DTA in force on the relevant date, between the Republic of South Africa and the country of residence of the beneficial owner specified above, as well as sections 64FA, 64G or 64H of the Act (whichever is applicable), have been met and that dividends paid on the shares specified above are therefore subject to a reduced rate of ______.

By selecting a country of residence for tax purposes other than South Africa, I/we declare that the investor is not registered in South Africa for tax purposes and that the reduced rate applicable to the relevant country will apply.

Investor Declaration:

By signing below, I/we hereby declare that:

- I/we confirm that the information provided in this declaration is true and accurate.
- I/We undertake to immediately inform Peregrine Capital in writing, should the circumstances of the beneficial owner referred to in the declaration above change.
- I/we indemnify Peregrine Capital and any service provider appointed by Peregrine Capital, any agent, officer, employee or director of these entities ("the indemnified persons") and hold the indemnified persons harmless against any damage, loss (including consequential loss), costs or expenses incurred as a result of a non-disclosure of information, incorrect disclosure of information or failure to provide information in time. This indemnity constitutes a stipulatio alteri (a contract for the benefit of a third party) in favour of the indemnified persons who may accept the benefit of this indemnity at any time without notice to the Investor.

Signature ______

Full name ______

Capacity of signatory ______ Date ______

Signature ______

Full name ______

Capacity of signatory ______ Date ______

ANNEXURE A - CONTROL AND OWNERSHIP INFORMATION - ENTITY

This section must be completed by each entity that holds a direct or indirect interest in, or exercises control or significant influence over, the investor.

Entity Type	Type of ownership / interest
☐ Trust	☐ Corporate Trustee ☐ Founder (that is an entity) ☐ Beneficiary (that is an entity) ☐ Shareholder (that is an entity) with ≥5% Controlling interest
☐ Unlisted company ☐ Listed company	☐ Shareholder (that is an entity) with ≥5% Controlling interest ☐ Other (please specify (e.g. voting control))
☐ Partnership	☐ Partner (that is an entity) ☐ General/Disclosed Partner (that is an entity) ☐ Other (please specify (e.g. voting control))
☐ Regulated financial institution (e.g., Investment Fund)	☐ Management company
☐ Other legal entity (please specify)	☐ Describe nature of control/ownership

Entity details

Registered name			
Trading name			
Registration number		FSP licence number	
Country of incorporation		Date of incorporation	
Industry of business	Accounting, auditing, assurance, business, professional services		
Other, please specify:			

Does the legal entity have the ability to issue bearer shares? Yes ☐ No ☐

Registered address

Postal Code

Legal Entity Tax Information

We are required to collect information about the entity's tax residence. This means we need to know which countries the entity is liable to pay tax, regardless of whether the entity physically resides there. Please list all relevant countries along with the corresponding Tax Identification Number (TIN) or its functional equivalent. If you are unable to provide a TIN, please specify a reason. We may be required to share this information with the relevant tax authorities as necessary. For additional information, please access the **FICA and Tax** guide.

Country of tax residency	Tax identification number (TIN)	Reason for no TIN

If unable to obtain a TIN, please specify reason:

Foreign tax classification

Is the entity registered in the US for tax purposes? Yes ☐ No ☐

Are you a Financial Institution?

Is the legal entity a Financial Institution? Yes ☐ No ☐

If yes, please provide your Global Intermediary Identification Number (GIIN) or the GIIN of your sponsoring entity:

If the legal entity cannot provide a GIIN, please provide a reason:

Should the beneficial owner fail to select either of the above classifications, Peregrine Capital will assume the Investor is a non-participating financial Institution.

Are you a non-financial Institution?

If the legal entity is not a financial institution, please tick the appropriate classification

Active ☐ Passive ☐

Investor Declaration:

By signing below, I/we for and on behalf of the Investor, confirm that the information contained herein is true and correct. I/we have the necessary authority to do so, and I/we confirm that this transaction is within my/our authority.

Signature			
Full name			
Capacity of signatory		Date	

Signature			
Full name			
Capacity of signatory		Date	

ANNEXURE B - CONTROL AND OWNERSHIP INFORMATION - INDIVIDUAL

This section must be completed by each individual with a direct or indirect interest in, or significant control over the entity.

Capacity (please tick all relevant options if more than one applies)

Partner	☐	Member	☐	Director	☐
Founder	☐	Trustee	☐	Beneficiary	☐
Shareholder with 5% controlling interest	☐	Person exercising executive control	☐	Ultimate beneficial owner	☐
Authorised signatory	☐	Other (please specify)			

Personal details

Title		Surname	
First name(s)			
Preferred name			
ID / Passport number		Date of birth	
Passport country of issue		Passport expiry date	
Country(ies) of citizenship			
Country(ies) of residency			
Residential address			
		Postal code	
Mobile number		Telephone number(w)	
Email			

Tax information

Are you a US citizen or resident in the US for tax purposes? Yes ☐ No ☐

Tax residency details

We are required to collect information about your tax residence. This means we need to know the countries where you are liable to pay tax, regardless of whether you physically reside there. Please list all relevant countries along with the corresponding Tax Identification Number (TIN) or its functional equivalent. If you are unable to provide a TIN, please specify a reason. We may be required to share this information with the relevant tax authorities as necessary. For additional information, please access the **FICA and Tax** guide.

Country of tax residency	Tax identification number (TIN)	Reason for no TIN

If unable to obtain a TIN, please specify reason:

Prominent influential person declaration

Are you, or have you been, a prominent influential person? Yes ☐ No ☐

Are any of your immediate family members, or close associates, prominent influential persons?
Yes ☐ No ☐

If yes, please specify:

- ☐ Ambassador, senior judicial officer, senior officer in armed forces
- ☐ Head of state, head of government, minister, deputy minister, senior government official, member of parliament or similar legislative body
- ☐ Board member, executive, senior manager, or member of supervisory body of state-owned enterprise, local or regional council
- ☐ Senior executive of an international organisation such as the United Nations, the African Union or the equivalent.
- ☐ Senior or other important political party official
- ☐ Traditional or religious leader

Investor Declaration:

By signing below, I/we for and on behalf of the Investor, confirm that the information contained herein is true and correct. I/we have the necessary authority to do so, and I/we confirm that this transaction is within my/our authority.

Signature			
Full name			
Capacity of signatory		Date	

Signature			
Full name			
Capacity of signatory		Date	