Peregrine Capital™

APPLICATION FOR INDIVIDUAL INVESTORS

Before you invest:

- Peregrine Capital does not provide financial advice; however, we believe in the merits of good independent advice. If you are not comfortable making your own investment decision, please consider using the services of an Independent Financial Adviser ("IFA").

By signing this form, you confirm:

- You have read the latest product information (Fund Fact Sheet and the Key Investor Information Document**)** to ensure you have chosen the correct hedge fund to suit your needs.
- You have read and agree to the **Terms and Conditions**.
- All documents mentioned above are available on our website www.peregrine.co.za

Next steps:

- Send the completed and signed form to instructions@peregrine.co.za
- If you are a South African citizen/resident, Peregrine Capital will verify the information you provide by using trusted third-party service providers in order to comply with FICA.
- If you are a foreign national, please provide a valid copy of your passport.
- Electronic collections, including monthly debit orders, can only be processed from a South African bank account. If your bank account is not held in South Africa, please provide proof of the account.
- We reserve the right to request additional information or documentation as required.

Application approval and funding your investment:

- Peregrine Capital will inform you when your application is approved and when your investment can be funded.
- Please note that investments cannot be funded by a third party and all funds must be transferred from a bank account in your name.
- Electronic collection is the easiest and most secure way to fund your investment. For Qualified Investor Funds, Peregrine Capital will collect the money directly from your bank account on the 28th and invest it on the first calendar day of the following month. For Retail Funds, collection will be made as soon as your account is approved. Should the collection date fall on a public holiday or a weekend, the collection will be made the next business day.
- Peregrine Capital can collect a maximum of R2,000,000 per client per day, subject to a total monthly limit of R2,000,000 per client on a calendar month basis. If your investment amount is greater than R2,000,000, or you prefer to transfer the money using electronic fund transfer (EFT), we will securely provide you with our bank account details once your application is approved. Your payment reference must be your **SA ID number or passport number** (if foreign national)
- Proof of payment is required in order to successfully complete your investment.
- Please refer to our **Terms and Conditions** for transaction cut-off times.

How will I know my investment has been successful:

- For Qualified Investor Funds, Peregrine Capital will send you a welcome letter by the 10th business day of the month. For Retail Funds, a welcome letter will be sent on the business day following the finalisation of your investment. The letter will contain important information like your account number, the number of units you hold in the fund, and all other important information pertaining to your investment.
- We will create a profile in the Client Portal to enable you to see all your investments and information.

APPLICATION FOR INDIVIDUAL INVESTORS

1. Personal Details

Title		Surname	
First name(s)			
Preferred name			
ID / Passport number		Date of birth	
Passport country of issue		Passport expiry date	
Country(ies) of citizenship			
Country(ies) of residency			

If you are acting on behalf of the investor, including applying on behalf of a minor, please generate and complete the **Acting on Behalf of the Investor Form**.

Please provide proof of authority to act on behalf of the investor (e.g. mandate, power of attorney). If the application is for a minor, please provide a copy of the unabridged birth certificate.

2. Contact Details

Mobile number		Telephone number (w)	
Email address			

Residential address

	Postal code	

The contact details provided must be your own. Please note that all communication will be sent to the primary contact number and email address provided.

3. Employment Details

Are you currently employed? Yes ☐ No ☐

What is your current position?

Non-managerial role ☐ Manager ☐ Self-employed/owner ☐

Non-executive director ☐ Senior management /Executive ☐

What industry do you work in?

If other, please specify:

Who is your current employer?

How long have you been with your current employer?

Less than a year ☐ 1-2 years ☐ 3-5 years ☐ 5+ years ☐

If you have been with your current employer for less than a year, please provide details of your previous employer:

What was your previous position?

Non-managerial role ☐ Manager ☐ Self-employed/owner ☐

Non-executive director ☐ Senior management /Executive ☐

What industry did you previously work in?

If other, please specify:

Who is your previous employer?

How long were you with your previous employer?

Less than a year ☐ 1-2 years ☐ 3-5 years ☐ 5+ years ☐

If you are currently unemployed, please provide us with more details on the reason for your unemployment:

Retired ☐ Minor ☐ Student ☐ Homemaker ☐

Other (please specify):

4. Prominent Influential Person Declaration

Are you, or have you been, a prominent influential person? Yes ☐ No ☐

Are any of your immediate family members, or close associates, prominent influential persons?

Yes ☐ No ☐

If yes, please specify

☐ Ambassador, senior judicial officer, senior officer in armed forces

☐ Head of state, head of government, minister, deputy minister, senior government official, member of parliament or similar legislative body

☐ Board member, executive, senior manager, or member of supervisory body of state-owned enterprise, local or regional council

☐ Senior executive of an international organisation such as the United Nations, the African Union or the equivalent

☐ Senior or other important political party official

☐ Traditional or religious leader

5. Tax Information

Are you a US citizen or resident in the US for tax purposes? Yes ☐ No ☐

Tax residency details

We are required to collect information about your tax residence. This means we need to know the countries where you are liable to pay tax, regardless of whether you physically reside there. Please list all relevant countries along with the corresponding Tax Identification Number (TIN) or its functional equivalent. If you are unable to provide a TIN, please specify a reason. We may be required to share this information with the relevant tax authorities as necessary. For additional information, please access the **Tax and FICA Guide** by clicking here.

Country of tax residency	Tax identification number (TIN)	Reason for no TIN

If unable to obtain a TIN, please specify reason:

I qualify for an exemption or reduced rate
Please tick the box to generate and complete the **Dividend Withholding Tax Form** ☐

6. Confirmation of Banking Details

The bank account you provide must be in your name. Electronic collections and payments will be processed using the bank account provided. Credit cards, market-linked accounts, or third-party bank accounts are not permitted. If your bank account is not held in South Africa, please provide proof of the account.

Name of account holder	
Name of bank	
Account number	
Account type	Cheque ☐ Savings ☐

7. Investment Details

Qualified investor self-certification

I am a self-certified qualified investor as defined in the Collective Investment Scheme Control Act, No 45 of 2002

☐ I have R1,000,000 I would like to invest as a lump sum

and

I have demonstrable knowledge and experience in financial and business matters which would enable me to assess the merits and risks of a hedge fund investment.

or

☐ I have appointed a financial adviser who has demonstrable knowledge and experience to advise me regarding the merits and risks of a hedge fund investment.

Minimum investment limits

- Retail Hedge Funds: The minimum investment amount is R25,000 per fund, or a monthly debit order of R1,000 per fund.
- Qualified Investor Hedge Funds: The minimum investment amount is R1,000,000 per fund.

Fund	Lump sum investment amount
Peregrine Capital High Growth Retail Hedge Fund	
Peregrine Capital Pure Hedge Retail Hedge Fund	
Peregrine Capital Vision Retail Feeder Hedge Fund	
Peregrine Capital High Growth QI Hedge Fund	
Peregrine Capital Pure Hedge QI Hedge Fund	
Peregrine Capital Dynamic Alpha QI Hedge Fund	

How are you funding your investment?

Electronic collection is generally the simplest and most secure way to fund your investment. Peregrine Capital can collect a maximum of R2,000,000 per client per day, subject to a total monthly limit of R2,000,000 per client on a calendar month basis. Electronic collections, including monthly debit orders, can only be processed from a South African bank account. If your investment exceeds this limit, we recommend using 'Electronic Funds Transfer' as your method of funding to ease your investment experience.

Your account must be FICA compliant prior to allowing any transaction.

☐ Electronic collection	For Qualified Investor Funds, Peregrine Capital will collect the money directly from your bank account on the 28th and invest it on the first calendar day of the following month. For Retail Funds, collection will be made as soon as your account is approved. Should the collection date fall on a public holiday or a weekend, the collection will be made the next business day. Collections related to Qualified Investor Funds will reflect the reference 'PCQIHF', and those related to Retail Funds will reflect 'PCRHF' on your bank statement. Investments funded via electronic collections can only be sold after 60 calendar days. I hereby authorise Peregrine Capital to withdraw from my South African bank account confirmed in Section 6.
☐ Electronic Funds Transfer (EFT)	We can only share our banking details once your application is approved. As soon as that happens, we will share the banking details with you in a secure manner. Please use your **SA ID number or passport number** (if foreign national) as reference for your payment. Proof of payment is required in order to successfully complete your investment.

Set up debit order instruction

You can set up a debit order on this investment. Debit orders are collected on the 28th day of each month. Should the 28th fall on a public holiday or a weekend, the collection will be made the next business day.

Minimum investment amount for debit order instructions

- Retail Hedge Funds**:** The minimum debit order amount is R1,000 per fund, whether standalone or in addition to an initial investment of R25,000.
- Qualified Investor Hedge Funds: The minimum debit order amount is R5,000 per fund in addition to an initial investment of R1,000,000.

☐ Please set up a debit order for my investment. I hereby authorise Peregrine Capital to debit my South African bank account on a monthly basis for the agreed investment amount:

Fund	Amount	Effective date
Peregrine Capital High Growth Retail Hedge Fund		28th of
Peregrine Capital Pure Hedge Retail Hedge Fund		28th of
Peregrine Capital Vision Retail Feeder Hedge Fund		28th of
Peregrine Capital High Growth QI Hedge Fund		28th of
Peregrine Capital Pure Hedge QI Hedge Fund		28th of
Peregrine Capital Dynamic Alpha QI Hedge Fund		28th of

Please indicate the percentage by which you would like your monthly debit order to increase each year:

0% ☐ 2.5% ☐ 5% ☐ 10% ☐ 15% ☐ 20% ☐

For this specific investment, the money originates from the following source/s:

Please note that you may be required to provide supporting documentation. Please select all options that are applicable.

Employment income	☐	Savings	☐	Passive income (rental, dividends)	☐
Pension payout	☐	Inheritance	☐	Donation	☐
Sale of business	☐	Sale of property	☐	Sale of investment assets	☐

Other (please specify): ____________________

Distributions details

Your investment may earn income distributions (interest and/or dividends). These will be automatically reinvested, resulting in the allocation of additional units to your investment.

To have your distributions paid directly into your bank account, please tick this box ☐ .

Investment Objective

What is your primary objective for investing?

Capital preservation	☐	Capital growth	☐	Risk diversification	☐
Hedging against inflation	☐	Retirement planning	☐	Education savings	☐
Estate planning	☐	Other (please specify):			

What is your expected time horizon?

1-2 years ☐ 3-5 years ☐ More than 5 years ☐

My wealth has been accumulated through the following sources:

Please note that you may be required to provide supporting documentation. Please select all options that are applicable.

Employment income	☐	Savings	☐	Passive income (rental, dividends)	☐
Pension payout	☐	Inheritance	☐	Donation	☐
Sale of business	☐	Sale of property	☐	Sale of investment assets	☐

Other (please specify): ____________________

8. Financial advice

Please select the applicable option:

☐ I have not received financial advice in relation to this investment

☐ I have received financial advice, but do not require Peregrine Capital to pay fees on my behalf

☐ I have received financial advice for this investment. I authorise Peregrine Capital to deduct the negotiable fees and pay them to the Financial Services Provider (FSP) whose details are given below. I agree to pay the negotiated fees on this and all future transactions until otherwise specified.

Financial adviser details

Full name of financial adviser representative	
Name of financial services provider (FSP)	
Appointment date	
Annual fees (excluding VAT)	

Who should we communicate with?
We will send you/your financial adviser transaction confirmations, account statements and other communications.

Who should we send these communications to? Me ☐ Financial adviser ☐ Both ☐

If no option is selected, your communications will be sent to both you and your financial adviser.

Authorisation for your financial adviser to submit instructions on your behalf

If the FSP holds a Category II licence with the Financial Sector Conduct Authority, and the investor has entered into a discretionary mandate with the FSP, the investor may authorise the FSP to submit instructions to withdraw, purchase or otherwise deal with investment instructions (“instructions”) on behalf of the investor.

The investor has entered into a mandate with this FSP to exercise discretion over the investor’s investment(s) and submit instructions on behalf of the investor Yes ☐ No ☐

If yes, please submit a copy of the **discretionary mandate along with this form.**

If the FSP does not have an existing contract with Peregrine Capital, they must complete and submit an **FSP Application Form** to facilitate fee payments. Please email ask@peregrine.co.za to request this form.

The fee is charged by means of withdrawing units from the relevant fund. The fee is calculated daily based on the latest available price and paid monthly in arrears to the financial adviser.

Distribution list for monthly statement and transaction confirmations

Please indicate who, in addition to yourself and your financial adviser, should receive transaction confirmations and monthly statements:

Title	Full Name	Email address

9. Investor Declaration and Consent

By signing below, I confirm that:

- The information contained herein is true and correct. If this form is signed in a representative capacity, I have the necessary authority to do so, and I confirm that this transaction is within my authority.
- I have read, understood and agree to the Terms and Conditions.
- I have read and understood the risk profile of the Funds and acknowledge that there are no guarantees regarding the preservation of capital or the future performance of the Funds.
- I consent to Peregrine Capital processing my information according to the Terms and Conditions.
- I agree that my personal information may be collected and verified from another source, if not collected directly from me.
- I acknowledge that any information supplied to Peregrine Capital in terms of this application is provided voluntarily to Peregrine Capital.
- I hereby authorise Peregrine Capital to debit my South African bank account once off or on a monthly basis for the agreed investment amount.
- I confirm that I have read and understood the Tax Guide provided by Peregrine Capital and that, to the best of my knowledge, all tax-related information submitted in this application is true, complete, and correct.
- In terms of the Intergovernmental Agreement ("IGA") entered into between South Africa and the US to implement the United States Foreign Account Tax Compliance Act ("FATCA"), Peregrine Capital is required to collect information from each client to ensure the identification and classification is reported correctly to the South African Revenue Service ("SARS"), which may in turn report on such clients to the US tax and other regulatory authorities, where appropriate.

- I will notify Peregrine Capital immediately if any information disclosed herein changes and is no longer applicable or if there are any changes in circumstances that may impact on my tax residency status, FATCA/CRS classification or any other foreign tax classification.
- I have not received any advice from Peregrine Capital regarding this instruction.

Signature	
Full name	
Date	