

CHANGE OF FINANCIAL ADVISER DETAILS FORM

Important information:

- This form should be completed in instances where the investor wishes to appoint an Independent Financial Adviser (“IFA”), to initiate or change financial adviser fees, or terminate their existing relationship with a financial adviser.

By signing this form, you confirm:

- You have read and agree to the **Terms and Conditions**.

Next steps:

- Send the completed and signed form to instructions@peregrine.co.za.
- We reserve the right to request additional information or documentation as required.

How will I know my details have been updated:

- Peregrine Capital will send you confirmation once your details have been updated.

CHANGE OF FINANCIAL ADVISER DETAILS FORM

1. Investor Details

Client / Account number

Full name / Entity name

ID number / Registration number

2. Appointment or Change of Financial Adviser

Changing the representative of the FSP

Initiate or change financial adviser fees

Appoint a new financial adviser

Financial adviser information

Full name of financial adviser representative

Name of financial services provider (FSP)

Appointment date

Annual fees % (excluding VAT)

Who should we communicate with?

We will send you/your financial adviser transaction confirmations, account statements and other communication.

Who should we send these communications to?

Me

Financial adviser

Both

If no option is selected, your communications will be sent to both you and your financial adviser.

Authorisation for your financial adviser to submit instructions on your behalf

If the FSP holds a Category II licence with the Financial Sector Conduct Authority, and the investor has entered into a discretionary mandate with the FSP, the investor may authorise the FSP to submit instructions to withdraw, purchase or otherwise deal with investment instructions ("instructions") on behalf of the investor.

The investor has entered into a mandate with this FSP to exercise discretion over the investor's investment(s) and submit instructions on behalf of the investor.

Yes

No

If yes, please submit a copy of the **discretionary mandate along with this form.**

If the FSP does not have an existing contract with Peregrine Capital, they must complete and submit an **FSP Application Form** to facilitate fee payments.

The fee is charged by means of withdrawing units from the relevant fund. The fee is calculated daily based on the latest available price and paid monthly in arrears to the financial adviser.

3. Terminate current financial adviser

Financial adviser information

Full name of financial adviser representative

Name of financial services provider (FSP)

Termination date

4. Investor and Adviser Declaration and Consent

By signing below, I/we, the Investor (or for and on behalf of the Investor), confirm that:

- The financial adviser, as authorised representative of the FSP detailed in Section 2, is my/our appointed financial adviser.
- I/we acknowledge and agree that my/our appointed financial adviser will have access to my/our investment details
- Where I/we have entered into a discretionary mandate with my/our financial adviser:
 - I/we authorise Peregrine Capital to accept all instructions, including electronic instructions, submitted by my/our financial adviser on my/our behalf.
 - I/we understand that if a copy of the discretionary mandate is not provided to Peregrine Capital, then Peregrine Capital is not authorised to accept instructions submitted on my/our behalf from my/our financial adviser, and Peregrine Capital will not act on instructions from my/our financial adviser.
 - I/we understand and agree that all instructions submitted by my/our financial adviser will be governed by the Peregrine Capital Terms and Conditions applicable as at the date the instruction is received.
 - I/we acknowledge and agree that Peregrine Capital will not be held liable for acting on any instructions submitted by my/our financial adviser, and I/we indemnify Peregrine Capital, its officers, agents, directors and employees from all direct or indirect claims (including claims for consequential damages) in this regard.
 - I/we authorise Peregrine Capital to make payment of the negotiated fee to my/our financial adviser, from the Fund(s).
 - I/we acknowledge that I/we may instruct Peregrine Capital in writing, including an electronic instruction, at any time, to cease paying the fees, or to vary the amount of fees, to my/our financial adviser.

By signing below, I, the adviser, represent and warrant that:

- I am the licensed FSP, or representative of the licensed FSP, referred to in Section 2, and I am licensed and authorised to render financial services in respect of participatory interests in hedge funds.
- I have made all disclosures to the Investor as required by the Financial Advisory and Intermediary Services Act, No. 37 of 2002, Collective Investment Schemes Control Act, No. 45 of 2002, and any subordinate legislation thereto.
- I have explained all fees that relate to the Funds to the Investor.
- I acknowledge and accept that the Investor may instruct Peregrine Capital in writing, including an electronic instruction, at any time, to cease paying the fees, or to vary the amount of fees, to me.
- I consent to Peregrine Capital collecting, processing, storing and sharing my personal information in the normal course of business and according to the Peregrine Capital Terms and Conditions.
- I acknowledge that any personal information supplied to Peregrine Capital is provided voluntarily.
- I agree that my personal information may be collected and verified from another source, if not collected directly from me.

Signature

Full name (Investor)

Date

Signature

Full name (IFA)

Date